

Εμπειρία αξιολόγησης προτάσεων στο πρόγραμμα SSH

Ρουμπίνη Γρώπα,
ΕΛΙΑΜΕΠ



FP7 Evaluation Procedure

1. Eligibility Check (Commission-EC)

Proposal Submission

Full/short proposal

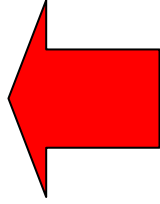
Administrative forms

2. Individual Evaluation

Evaluators

Criteria & Thresholds

IER - Individual Evaluation Report

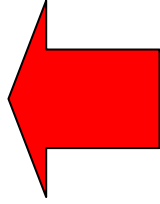


3. Consensus Meeting

Evaluators + Rapporteur + EC

Criteria & Thresholds

Consensus Report



4. Panel Review
Hearings optional

Evaluators + Rapporteur + EC

Criteria & Thresholds

ESR - Evaluation Summary Report

Priority List:
Projects for funding
Reserved List
No-Go List

Ethical Review (if needed)

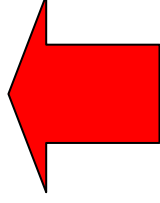
5. Commission Feedback

ESR sent to Coordinators

Negotiation

Consultation P. Committee (if required)

Final Lists:
Rejection List
Funded list



Experience of the Individual Evaluation Report

- Invitation by DG RTD Scientific Officer to take part in the evaluation of proposals submitted within specific topic
- Communication of criteria, process, dates
- Receipt of project proposals
- Evaluation and submission of individual evaluation reports (ranking, comments) on each of the 3 dimensions

Individual Evaluation Report for an Integrated Project

Proposal No. : _____ Acronym : _____

<p>1. Scientific and/or technological excellence (relevant to the topics addressed by the call) <i>Note: when a proposal only partially addresses the topics, this condition will be reflected in the scoring of this criterion</i></p> <ul style="list-style-type: none"> • Soundness of concept, and quality of objectives • Progress beyond the state-of-the-art • Quality and effectiveness of the S/T methodology and associated work plan 	<p>Score: <i>(Threshold 3/5; Weight 1)</i></p>
<p>2. Quality and efficiency of the implementation and the management</p> <ul style="list-style-type: none"> • Appropriateness of the management structure and procedures • Quality and relevant experience of the individual participants • Quality of the consortium as a whole (including complementarity, balance) • Appropriateness of the allocation and justification of the resources to be committed (budget, staff, equipment) 	<p>Score: <i>(Threshold 3/5; Weight 1)</i> <i>For the purposes of any subsequent negotiation, an above-threshold score for this criterion is regarded as an indication that the consortium has the operational capacity to carry out the work</i></p>
<p>3. Potential impact through the development, dissemination and use of project results <i>Note: Refer to the applicable list of impacts specified in the work programme</i></p> <ul style="list-style-type: none"> • Contribution, at the European and/or international level, to the expected impacts listed in the work programme under relevant topic/activity • Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property. 	<p>Score: <i>(Threshold 3/5; Weight 1)</i></p>
<p>Remarks</p>	
<p>Overall score: <i>(Threshold 10/15)</i></p>	

(If proposal above all thresholds)

Specific questions to be asked of proposers at hearing

nise

Does this proposal contain ethical issues that may need further attention ?

| NO | YES

Experience of Consensus Meeting (1/4)

- Discussion moderated by DG RTD Scientific Officer – role is core to the success of meeting!
- Introductory discussion to aims of topic overall
- Discussion in depth on each project proposal
 - One expert acts as rapporteur
 - All experts present their comments, justification for grades
 - Detailed discussion of all aspects of proposal against the three dimensions: Excellence/ Team-Management/ Impact
 - Out of scope proposals eliminated;
 - those at the threshold examined very carefully;
 - in depth discussion especially on the most excellent ones or the ones that have the most diverging opinions among the evaluators
 - Agreement on consensus final mark and comments for each criteria (justifications, suggestions for improvement, concerns flagged to Commission and Consortium, budgetary issues etc)
- Rapporteur draws up report

Experience of Consensus Meeting (2/4)

- Once all projects examined, discussion overall and last check / agreement
- Revision/ discussion of consensus reports in cases where projects received same grade
- Finalisation of reports
- Drawing up of proposed ranking/ priority of projects based on evaluation results, overall comments

Experience of Consensus Meeting (3/4)

- If disagreement / inability to propose ranking, or conflict within the Consensus Meeting: External Evaluators of Other Topic invited for their Input
- My experience:
 - 2 proposals with equal grading – no consensus could be reached within other team on another topic
 - Invited along with another expert to read the proposals, draw up Individual Evaluation Report
 - Meeting with Consensus Team and DG RTD Scientific Officer in charge and discussion about our IERs and the Consensus Report
 - Finalisation of Report

Experience of Consensus Meeting (4/4)

- Confidentiality agreement before and after
- Intellectually stimulating discussions on content, issues, theoretical underpinnings of proposals
- Practical concerns on interdisciplinarity, geographical coverage, inclusion of diversity, budget, feasibility, rational allocation of resources/ deliverables/ coordination and cooperation!
- Real concern for IMPACT & POLICY RELEVANCE
- Important role of Scientific Officer (constructive moderator!)

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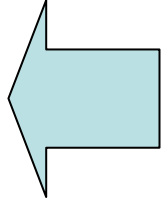
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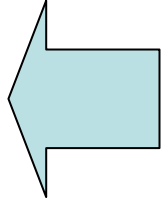


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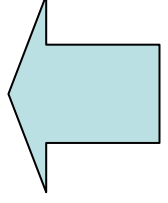
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Receiving Commission's Report and Feedback

- Good news
 - Evaluation report is rewarding
 - Comments and concerns noted usually helpful for the negotiation process and fine-tuning the project and contract (content wise and structure wise - budget, management structure, deliverables, etc)
- Bad news
 - Regret, learn, possibly useful for resubmission of altered proposal next time, move on...
 - Redress procedure: coordinator and consortium decide to oppose the evaluation results and request re-evaluation
 - Letter responding to the criticism/ comments on each dimension, justification, explanation, etc
 - Mobilisation to pressure DG RTD to reconsider ranking, etc
 - Rather unpleasant procedure for all sides
 - Commission reply

Overall

- Intellectual/ scientific considerations
- Policy relevance, dissemination, impact
- European value
- Innovation & exciting new ideas
- Feasibility (resources, methods, deliverables)
- Quality of team