

**Πάνος Τσακλόγλου**  
**Οικονομικό Πανεπιστήμιο Αθηνών**

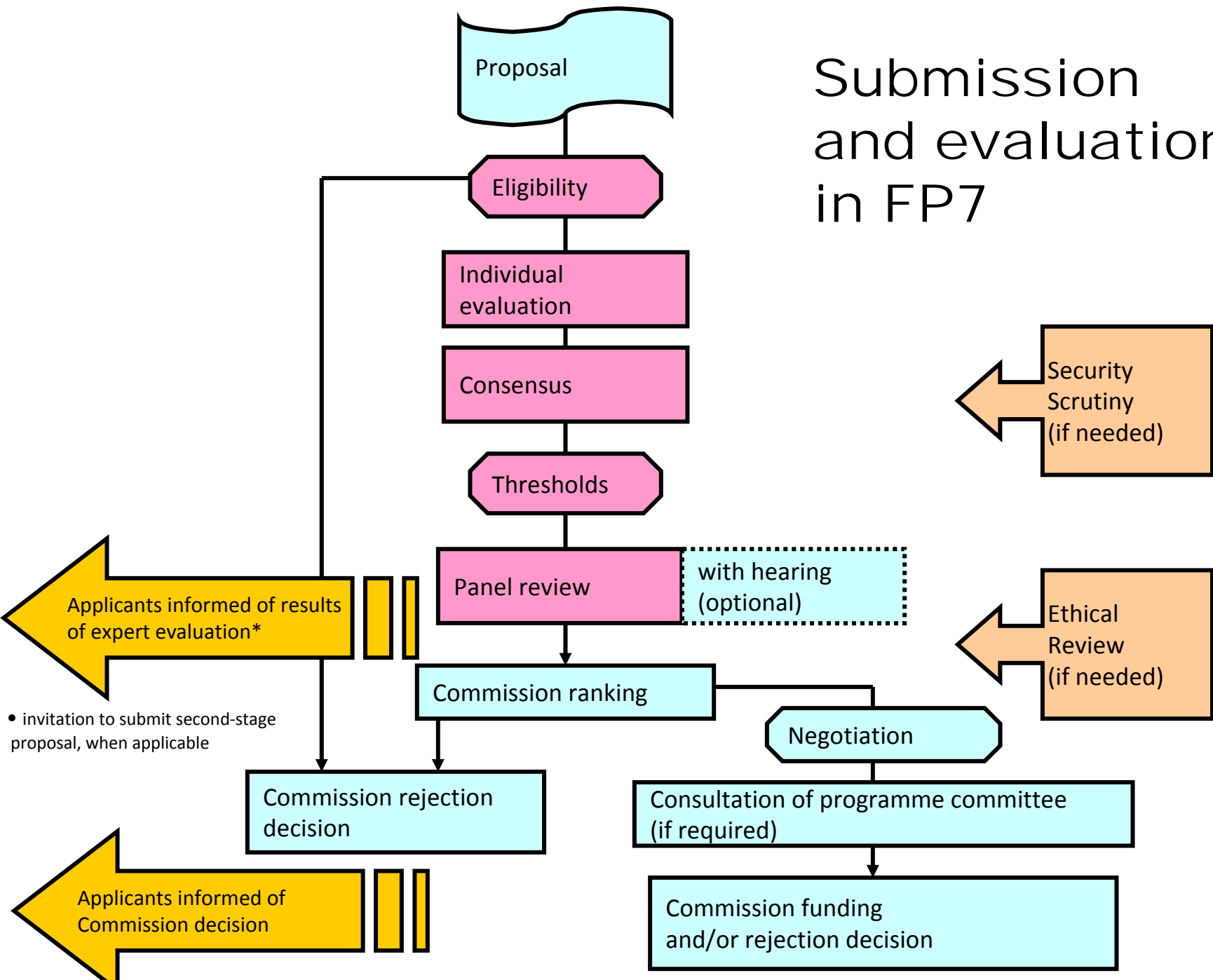
# **Αξιολόγηση προτάσεων προς χρηματοδότηση στο 7<sup>ο</sup> Πρόγραμμα Πλαίσιο**

**Εθνικό Κέντρο Τεκμηρίωσης  
&  
Ειδικός Λογαριασμός Κονδυλίων Έρευνας του Οικονομικού Πανεπιστημίου Αθηνών**

**“7ο Πρόγραμμα Πλαίσιο για την Έρευνα της ΕΕ: Κοινωνικοοικονομικές και Ανθρωπιστικές Επιστήμες,  
Ιδέες, Δράσεις Marie Curie (Άνθρωποι), Περιφέρειες της Γνώσης, Ερευνητικό Δυναμικό”**

**Οικονομικό Πανεπιστήμιο Αθηνών, Δευτέρα 11 Οκτωβρίου 2010**

# Submission and evaluation in FP7



# Eligibility checks

- Date and time of receipt of proposal on or before deadline for receipt
  - Firm deadlines
- Minimum number of eligible, independent partners
  - As set out in work programme and the call
- Completeness of proposal
  - Presence of all requested forms
- “Out of scope”
- Others (eg budget limits; word limits)

# Evaluation of proposals: basic facts and figures

- Funding decisions are based on peer review of research proposals
- Expert evaluators are at the core of the evaluation system
- Involves 4500 to 5000 independent experts every year
- About 16,000 proposals (and rising) are evaluated annually

# Experts

- Commission Call for FP7 Experts
  - Applications via CORDIS, by individuals & organisations
  - Draw from a wide pool of evaluators (c. 50,000 in FP6)
- Commission invites individuals, call-by-call
- Expertise, and experience are paramount
  - Specialization, geography, gender and “rotation” also considered
- Experts selected for evaluation sign confidentiality and conflict of interest declaration
  - Typically, an evaluator reviews some proposals “remotely”
  - Then attends panel meetings in Brussels
  - *Some may participate in “hearings” with the consortia*

# Criteria (1/2)

- Criteria adapted to each funding scheme and each thematic area
  - specified in the work programme
- Three main criteria:
  - **S&T Quality**
    - Concept
    - Objectives
    - Work-plan
  - **Implementation**
    - Individual participants
    - Consortium as a whole
    - Allocation of resources

# Criteria (2/2)

## – Impact

- Contribution to expected impacts listed in work programme
- Plans for dissemination/exploitation
- Criteria generally marked out of 5
- Individual threshold = 3; overall threshold = 10
  - Ethical issues

# Consensus meeting

- Built on the basis of the individual assessments of all the evaluators
- Usually involves a discussion
- Moderated by a commission staff-member
- One expert acts as rapporteur
- Agreement on consensus marks and comments for each of the criteria



# Panel review meeting

- Comparison of consensus reports
- Examination of proposals with same consensus score (if needed)
- Final marks and comments for each proposal
- Suggestions on order of priority, clustering, amendments (esp. budget), etc.
- *Hearings with proposers may be convened*
  - *Questions to the invited proposal coordinators*
  - *Small number of proposal representatives*

# Commission Follow-up

- Evaluation summary reports sent to applicants (“initial information letter”)
- Draw up final ranking lists
- Information to the Programme Committee
- Commission decisions on rejected proposals
- Contract negotiation
- Formal consultation of Programme Committee  
Commission decisions on proposals selected for funding
- Survey of evaluators
- Independent Observers’ reports

# Comments

In general, excellent review procedure

Five commends/remarks/suggestions

- Integrated Projects and Networks of Excellence inappropriate for SSH
- “Out of scope” proposals
- Budget
- Equal scoring weights in each criterion
- Idiosyncratic element
  - Both within and across panels

# Further information

- Information about FP7

<http://cordis.europa.eu/fp7/>

- For those interested in registering to the list of potential evaluators

<https://cordis.europa.eu/emmfp7/index.cfm>